

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/684,406         |
| Filing Date          | October 15, 2003   |
| First Named Inventor | RABIE              |
| Examiner Name        | BUSHEY, Charles S. |
| Art Unit             | 1724               |
| Attorney Docket No.  | 4320-523           |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

022095

Bereskin & Parr

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |          |
| 1002         | 350      | 2002         | 175      | Design filing fee      |          |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |          |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          | (\$)                   | 0.00     |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |                      | Extra Claims |                      | Fee from below |                      | Fee Paid                          |
|--------------------|----------------------|--------------|----------------------|----------------|----------------------|-----------------------------------|
| Total Claims       | <input type="text"/> | - 20 ** =    | <input type="text"/> | X              | <input type="text"/> | <input type="text" value="0.00"/> |
| Independent Claims | <input type="text"/> | - 3 ** =     | <input type="text"/> | X              | <input type="text"/> | <input type="text" value="0.00"/> |
| Multiple Dependent |                      |              |                      |                | <input type="text"/> | <input type="text"/>              |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                          |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim, if not paid                      |
| 1204         | 88       | 2204         | 44       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid |
|-----------------------------------|----------|--------------|----------|--|----------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |          |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                              | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252                              | 430      | 2252         | 215      | Extension for reply within second month                                    |          |
| 1253                              | 980      | 2253         | 490      | Extension for reply within third month                                     |          |
| 1254                              | 1,530    | 2254         | 765      | Extension for reply within fourth month                                    |          |
| 1255                              | 2,080    | 2255         | 1,040    | Extension for reply within fifth month                                     |          |
| 1401                              | 340      | 2401         | 170      | Notice of Appeal   |          |
| 1402                              | 340      | 2402         | 170      | Filing a brief in support of an appeal                                     |          |
| 1403                              | 300      | 2403         | 150      | Request for oral hearing   |          |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                              | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453                              | 1,370    | 2453         | 685      | Petition to revive - unintentional   |          |
| 1501                              | 1,370    | 2501         | 685      | Utility issue fee (or reissue)   |          |
| 1502                              | 490      | 2502         | 245      | Design issue fee   |          |
| 1503                              | 660      | 2503         | 330      | Plant issue fee  |          |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  | 180.00   |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                              | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                              | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801                              | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify)               |          |              |          |  |          |
| *Reduced by Basic Filing Fee Paid |          |              |          |  |          |
| SUBTOTAL (3)                      |          |              |          |  | 180.00   |

## SUBMITTED BY

|                   |                       |                                   |                   |           |                |
|-------------------|-----------------------|-----------------------------------|-------------------|-----------|----------------|
| Name (Print/Type) | Scott Pundsack        | Registration No. (Attorney/Agent) | 47,330            | Telephone | (416) 364-7311 |
| Signature         | <i>Scott Pundsack</i> | Date                              | November 12, 2004 |           |                |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

November 12, 2004



Scott R. Pundsack B.A.Sc. (Civil Eng.), LL.B.  
416 957 1698 spundsack@bereskinparr.com

Our Reference: 4320-523

**Supplemental Information Disclosure Statement**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA  
U.S.A. 22313-1450

Dear Sir:

**Re: U.S. Patent Application No: 10/684,406**  
**For: CYCLIC AERATION SYSTEM FOR SUBMERGED MEMBRANE MODULES**  
**Filed: October 15, 2003**  
**Inventors: RABIE et al.**

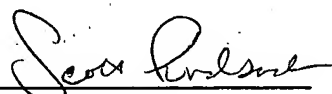
---

In accordance with 37 CFR 1.97 and 1.98, and in recognition of the duty of disclosure set for in 37 CFR 1.56, applicant hereby submits a supplemental Information Disclosure Statement including (1) a listing of patents and other publications of which applicant is aware on form PTO/SB/08A and (2) a copy of each listed item.

With reference to 37 CFR 1.97(d) and (e), the undersigned states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement. The fee set forth in §1.17(p) is being filed simultaneously with this Information Disclosure Statement.

Respectfully submitted,

**RABIE et al.**

By:   
Scott Pundsack  
Registration No. 47,330

/js  
Encl.

*Please send all correspondence to the Mississauga office:*

2000 Argentia Rd., Plaza 4, Ste. 430,  
Mississauga, Ontario, Canada L5N 1W1  
Tel: 905.812.3600 Fax: 905.814.0031

Scotia Plaza, 40 King St. West, 40th Floor,  
Toronto, Ontario, Canada M5H 3Y2  
Tel: 416.364.7311 Fax: 416.361.1398

Waterloo Technology Campus, 408 Albert St., Ste. 2,  
Waterloo, Ontario, Canada N2L 3V3  
Tel: 519.783.3210 Fax: 519.783.3211

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*